

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re patent application of

Kobayashi, Y.

Serial No.: 09/893,791

Group Art Unit: 2815

Filing Date: June 29, 2001

Examiner: Chu, Chris C.

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING SAME

Assistant Commissioner of Patents

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents cited in the Japanese Office Action and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made, the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Registration No. 48,747

McGinn & Gibb, PLLC Intellectual Property Law

8321 Old Courthouse Road, Suite 200

Vienna, VA 22182-3817

(703) 761-4100

Customer No. 21254

,	4		,			ATTY DOCKET NO. PNDF-01068		SERIAL NO. 09/893,791		
	INF		IATION DISCLOSUR Use several sheets if nece			Kobayashi, Y.				
						FILING June 29, 2001		GROUP 2815		
· ·				U	.S. PATENT	DOCUMENTS				
'EXAMINER			DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	
	િય									MAIL
APR 1 1	2003									
RADEN	av S	5								
ADE										
		<u> </u>								
										
N. S.										
		_								
	T	1		FOR	EIGN PATE	NT DOCUMENTS				
		_	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS	LATION NO
	ļ	11-243162		09/07/99	JAPAN				ABS	
		05-	166976	07/02/93	JAPAN				ABS	
		10-256458		09/25/98	JAPAN				ABS	
- · · · · · · · · · · · · · · · · · · ·		04-	056347	05/14/92	JAPAN			1. 4		4
		11-	345918	12/14/99	JAPAN		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	F 25-7	ABS	,
			OTHER DOCUM	•		Title, Date, Pertinent F				
				2		. partiar English translatio	,,,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
									-	
EXAMINER						DATE CONSIDERED				
'EXAMINEI considered.	R: Initia	il if re	ference considered, wheth	er or not citation is	in conforma	nce with MPEP 609; Draw li	ne through cita	ation if not in co	nformance	and not

Form PTO-A820 (also form PTO-1449)

P09C/REV03

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE